UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/708,721	03/19/2004	Paul Birkmeyer	101896-240 (DEP5278) 2720	
21125 7590 07/11/2008 NUTTER MCCLENNEN & FISH LLP			EXAMINER	
	DE CENTER WEST	SWIGER III, JAMES L		
155 SEAPORT BOULEVARD BOSTON, MA 02210-2604			ART UNIT	PAPER NUMBER
			3733	
			NOTIFICATION DATE	DELIVERY MODE
			07/11/2008	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@nutter.com

Office Action Summary		Application No.	Applicant(s)			
		10/708,721	BIRKMEYER ET AL.			
		Examiner	Art Unit			
		JAMES L. SWIGER	3733			
 Period for	The MAILING DATE of this communication app Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) ∑  F	Responsive to communication(s) filed on <u>25 Ma</u>	arch 2008				
•	This action is <b>FINAL</b> . 2b) ☐ This action is non-final.					
· · · · · ·	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
/—	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
	needy in adderdance with the practice under E	x parto Quayro, 1000 0.5. 11, 10	0.0.210.			
Dispositio	n of Claims					
4) <b>×</b> (	☑ Claim(s) <u>14-29</u> is/are pending in the application.					
4	4a) Of the above claim(s) is/are withdrawn from consideration.					
5) 🗌 (	5) Claim(s) is/are allowed.					
6)🛛 (	6)⊠ Claim(s) <u>14-29</u> is/are rejected.					
7) 🗆 (	Claim(s) is/are objected to.					
-	Claim(s) are subject to restriction and/or	election requirement.				
Applicatio	n Papers					
	he specification is objected to by the Examine	•				
-	•		ed to by the Evaminer			
	10)⊠ The drawing(s) filed on <u>01 December 2006</u> is/are: a)⊠ accepted or b)⊡ objected to by the Examiner.					
	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority ur	der 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
2) Notice 3) Informa	s) of References Cited (PTO-892) of Draftsperson's Patent Drawing Review (PTO-948) ation Disclosure Statement(s) (PTO/SB/08) No(s)/Mail Date <u>3/25/2008</u> .	4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P 6)  Other:	te			

#### **DETAILED ACTION**

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 14-29 are rejected under 35 U.S.C. 102(e) as being anticipated by Spitler et al. (US Publication 2005/0085813). Spitler et al. disclose a method for implanting a spinal fixation element into at least one spinal anchor disposed within a vertebra in a patient's spinal column comprising the steps of introducing a spinal fixation element (90) having a feature that is considered bulbous (91) through a lumen (see fig. 2E) that is coupled to a spinal anchor (61), and wherein the access device has a slot in the sidewall configured to prevent the feature from passing through of the fixation element (see fig 8A).

Spitler et al. teaches that the bulbous end is designed to fit within the head of the screw, and wherein the head of the screw has a ball shape that is captured by the slot. This sizing prevents the feature from moving through the slotted portion and also shows that the maximum width is greater than the maximum width of the slot. See also paragraph 0063.

Art Unit: 3773

Spitler et al. also disclose the step of manipulating the spinal fixation element and causing the feature to sit within the receiving member (54) and to have the remaining portion of the fixation element extend through the slot (see at least paragraph 0053). The fixation element is also locked (par 0053), and Spitler et al. also disclose a pusher member (see fig. 12A, 1201), that aids in advancing the spinal anchor (90) distally (turn to 12B). Spitler et al. also disclose at least two spinal anchors with an access device (see paragraph 0011).

## Response to Arguments

Applicant's arguments with respect to claims 14-29 submitted 1/17/2008 have been considered but are not persuasive. It is held that the prior art teaches the claimed method and apparatus to perform said method. The method deals with connecting spinal fixation elements percutaneously with a rod system that can be inserted but with limitations. Spitler et al. teaches this claimed method of spinal fixation. It is noted that as far as a "feature" Spitler et al. teach an end 91, which has something that can be considered at least a "feature" which remains in the receiver member of the spinal anchor. Further the device has a slot which is capable of preventing the passing of the feature. If the feature on the other end of a given rod were to be considered, the slot 402 can be configured (par 0048) to both allow movement of the bulbous end, or prevent movement.

#### Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Application/Control Number: 10/708,721 Page 4

Art Unit: 3773

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JAMES L. SWIGER whose telephone number is (571)272-5557. The examiner can normally be reached on Monday through Friday, 9:00am to 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eduardo Robert can be reached on 571-272-4719. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/708,721 Page 5

Art Unit: 3773

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JAMES L SWIGER/ Examiner, Art Unit 3733

/(Jackie) Tan-Uyen T. Ho/ Supervisory Patent Examiner, Art Unit 3773